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Joseph F. Hetz - Reg. No. 41,070

Name of Applicant, Assignee or  
Registered Representative

[Signature]  
Signature

Patent

Our Case No. 8285-669

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
Navarre et al.	)	
	)	Group Art Unit: 2171
Serial No.: 10/767,411	)	
	)	
Filed: January 27, 2004	)	
	)	
For: System and Method for Executing	)	
a Request from a Client	)	
Application	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§  
1.97-1.99, Applicants hereby submit documents A1-A21 listed on the attached form PTO-1449  
for consideration by the Examiner. Copies of the non-patent documents are not being submitted  
herewith since they were previously cited by or submitted to the Office in prior application serial  
number 10/214,418, which is being relied upon for an earlier filing date under 35 U.S.C. § 120.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that any of these documents is prior art against the present application.

Dated: August 5, 2004

Respectfully submitted,



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FORM PTO-1449	SERIAL NO. 10/767,411	CASE NO. 8285-669
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 27, 2004	GROUP ART UNIT 2171
(use several sheets if necessary)		APPLICANT(S): Navarre et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,442,611 B1	08/2002	Navarre et al.		
	A2	5,187,787	02/1993	Skeen et al.		
	A3	5,329,619	07/1994	Page et al.		
	A4	5,454,109	09/1995	Bruynooghe et al.		
	A5	5,586,312	12/1996	Johnson et al.		
	A6	5,590,334	12/1996	Saulpaugh et al.		
	A7	5,596,744	01/1997	Dao et al.		
	A8	5,604,896	02/1997	Duxbury et al.		
	A9	5,613,148	03/1997	Bezviner et al.		
	A10	5,878,143	03/1999	Moore		
	A11	5,890,161	03/1999	Helland et al.		
	A12	5,727,159	03/1998	Kikinis		
	A13	5,761,663	05/1997	Lagarde et al.		
	A14	5,794,232	03/1996	Mahlum et al.		
	A15	5,634,127	11/1994	Cloud		
	A16	6,253,369	06/2001	Cloud et al		
	A17	6,205,482	03/2001	Navarre et al.		
	A18	6,718,389	04/2004	Navarre et al.		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A19	"Using Distributed OLTP Technology in a High Performance Storage System", Terrill W. Tyler (IBM Government Systems) and David S. Fisher (Lawrence Livermore National Laboratory), <a href="http://www.computer.or...ss95/fisher/fisher.htm">http://www.computer.or...ss95/fisher/fisher.htm</a> .
	A20	"OLTP Middleware Integrates Client and Server Applications", Dr. Bill Highleyman (NetWeaver Corp), <a href="http://www.xstar.com/carnot/info/oltp.html/">http://www.xstar.com/carnot/info/oltp.html/</a> .
	A21	"J.P. Morgan & Co. Incorporated Selects New Era of Networks To develop and Implement Its Event Explode Service Technology", Terry Truman, New Era of Networks (Neon) News, BW NewsOnDemand Plus, © 1997 Business Wire, Document 1511, Released 09/1/97, Page 1 of 1.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.